PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 5776-00001/US/NP

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/511,378				
INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
PCT/DE03/01318 23 April 2003 (23.04.2003) 24 April 2002 (24.04.2002) TITLE OF INVENTION LIGHT APPLICATOR AND METHOD FOR PRODUCING A DIFFUSER					
APPLICANT(S) FOR DO/EO/US BEYER, Wolfgang, et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EG	O/US) the following items and other information:				
1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 37	1.				
2. This is a SECOND or SUBSEQUENT submission of items concerning a submission	on under 35 U.S.C. 371.				
3. This is an express request to begin national examination procedures (35 U.S.C. 37 (5), (6), (9) and (21) indicated below.	71(f)). The submission must include items				
4. The US has been elected (Article 31).					
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))	*				
a. is attached hereto (required only if not communicated by the Internation	nal Bureau).				
b. has been communicated by the International Bureau.					
c. is not required, as the application was filed in the United States Receiv	ring Office (RO/US).				
6. An English language translation of the International Application as filed (35 U.S.	6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).				
a. is attached hereto.					
b. has been previously submitted under 35 U.S.C. 154(d)(4).					
7. Amendments to the claims of the International Application under PCT Article 19	(35 U.S.C. 371(c)(3))				
a. are attached hereto (required only if not communicated by the International	a. are attached hereto (required only if not communicated by the International Bureau).				
b. have been communicated by the International Bureau.	b. have been communicated by the International Bureau.				
c. have not been made; however, the time limit for making such amend	ments has NOT expired.				
d. have not been made and will not be made.	d. have not been made and will not be made.				
8. An English language translation of the amendments to the claims under PCT A	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).				
9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).				
10. An English language translation of the annexes of the International Preliminary Article 36 (35 U.S.C. 371(c)(5)).	Examination Report under PCT				
Items 11 to 20 below concern document(s) or information included:					
11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.				
12. An assignment document for recording. A separate cover sheet in compliance v	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.				
13. A preliminary amendment.	A preliminary amendment.				
14. An Application Data Sheet under 37 CFR 1.76.	An Application Data Sheet under 37 CFR 1.76.				
15. A substitute specification.	A substitute specification.				
16. A power of attorney and/or change of address letter.	A power of attorney and/or change of address letter.				
17. A computer-readable form of the sequence listing in accordance with PCT Rule	A computer-readable form of the sequence listing in accordance with PCT Rule 13 <i>ter.</i> 2 and 37 CFR 1.821- 1.825.				
18. A second copy of the published International Application under 35 U.S.C. 154(d	A second copy of the published International Application under 35 U.S.C. 154(d)(4).				
19. A second copy of the English language translation of the international application	on under 35 U.S.C. 154(d)(4).				

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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U.S. APPLICAT	10N NO. (if known, 10/511,378			ATTORNEY'S DOCKET NUMBER 5776-000001/US/NP		
20. Other items or information: Express Mail Transmittal (Label EV 716 206 770 US); Check for \$130.00; Corrected Application Data Sheet; Marked-up Application Data Sheet; Copy of Notification of Missing Requirements Under 35 USC 371; Return Receipt Postcard						
	owing fees have be				CALCULATIONS	PTO USE ONLY
21. Basic	c national fee (37	CFR 1.492(a))	······	\$300	\$ 	
22. Exam	nination fee (37 CF	R 1.492(c))				
by IPEA/	If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 All other situations\$200				\$	
If the written opin IPEA/US Search fee (37 C International Sea previously	23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$	
	TOTAL OF 21, 22	2 and 23 =			0.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE thereof (round up to a whole number)				\$c		
- 100 =	/50 =			x \$250	\$ 0.00	l
Surcharge of \$13	Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			\$ 130.00		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims		- 20 =	<u> </u>	x \$50	\$	
Independent clair	ms	- 3 =		x \$200	\$	
MULTIPLE DEP	ENDENT CLAIM(S	6) (if applicable)		+ \$360	\$ 0.00	
	TOTAL OF ABOVE CALCULATIONS =			\$ 130.00		
Applicant cla	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.			0.00	î	
				SUBTOTAL =	\$ 130.00	<u></u>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$	0.00		
TOTAL NATIONAL FEE =			\$	130.00		
	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +			\$	0.00	
		····	TOTAL I	EES ENCLOSED =	\$	130.00
/19/2005 GFREY1	00000067 1051	1378			Amount to be refunded:	\$
C:1617 130.00 OP			Amount to be charged	\$		

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а. <u>X</u> b. □	A check in the amount of \$ to cover the above Please charge my Deposit Account No in the amount of \$			
c. 🔀	A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees whice Account No08-0750 A duplicate copy of this sheet is enclosed.			
d. 🔲	Fees are to be charged to a credit card. WARNING: Information on this for be included on this form. Provide credit card information and authorization	on on PTO-2038.		
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.				
Linda HARI P. O. I Bloon	ALL CORRESPONDENCE TO: a M. DESCHERE NESS, DICKEY & PIERCE, P.L.C. Box 828 mfield Hills, MI 48303 ad States of America	SIGNATURE Linda M. DESCHERE NAME 34,811 REGISTRATION NUMBER Dated: 12 July 05		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE AS DESIGNATED/ELECTED OFFICE (DO/EO/US)

Express Mail No.: EV 716 206 7.70 US

Date of Deposit: /2 July 2005

Application No.:

10/511,378

International

Filing Date:

April 23, 2003

Applicant:

Wolfgang Beyer et al.

Group Art Unit:

To be assigned

Examiner:

To be assigned

Title:

LIGHT APPLICATOR AND METHOD FOR PRODUCING

A DIFFUSER

Attorney Docket:

5776-000001/US/NP

Mail Stop PCT Commissioner for Patents P. O. Box: 1450 Alexandria Wirginia 22313-1450

EXPRESS MAIL TRANSMITTAL

The following papers are being deposited with the United States Postal Service Express Mail Post Office To Addressee on _/② July 2005 and addressed for receipt by the United States Patent and Trademark Office:

- 1. Express Mail Transmittal (Label No. EV 716 206 770 US);
- Transmittal Letter to the US Designated/Elected/Office Concerning a Filing Under 35 USC 371 (3 pages, in duplicate);
- 3. Signed Declaration and Power of Attorney (3 pages);
- 4. Corrected Application Data Sheet (3 pages);
- 5. Marked-up copy of Application Data Sheet (2 pages);
- 6. Copy of Notification of Missing Requirements Under 35 USC 371 (2 pages);
- 7. Return Receipt Postcard.

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

Susan McGaw

BEST AVAILABLE COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE AS DESIGNATED/ELECTED OFFICE (DO/EO/US)

Application No.:

10/511,378

International

Filing Date:

April 23, 2003

Applicant:

Wolfgang Beyer et al.

Group Art Unit:

To be assigned

Examiner:

To be assigned

Title:

LIGHT APPLICATOR AND METHOD FOR PRODUCING

A DIFFUSER

Attorney Docket:

5776-000001/US/NP

Mail Stop PCT Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

Attn: John L. Anderson

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US), mailed June 1, 2005, enclosed herewith is a Declaration and Power of Attorney that has been duly executed. Also enclosed is check #61470 in the amount of \$130 for the late filing fee for the Declaration.

Please note that, as indicated on the signed Declaration, Inventor Obermeier's address has changed. Accordingly, enclosed is a corrected Application Data Sheet reflecting the current address for Mr. Obermeier, along with a marked-up copy of the Application Declaration Sheet as originally filed. Please replace the Application Data Sheet as originally filed with this corrected version and adjust the official records to reflect this updated information.

Should there be any questions regarding the present application or this Response, please direct all communications to the undersigned at (248) 641-1600.

Respectfully submitted,

Dated: 12 July 05

Linda M. Deschere, Reg. No. 34,811

Agent for Applicants

Harness, Dickey & Pierce, P. L. C. P. O. Box 828 Bloomfield Hills, MI 48303 (248) 641-1600

LDES/smc



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Alexandris, Vinginia 22313-1450
www.usplusgov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY, DOCKET NO.

10/511,378

Wolfgang Beyer

5776-000001/US/NP

INTERNATIONAL APPLICATION NO.

PCT/DE03/01318

LA. FILING DATE

PRIORITY DATE

04/23/2003

04/24/2002

27572 HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828 BLOOMFIELD HILLS, MI 48303

CONFIRMATION NO. 2062 371 FORMALITIES LETTER

OC000000016161050

Date Mailed: 06/01/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 10/14/2004
- English Translation of the IA filed on 10/14/2004
- Copy of the International Search Report filed on 10/14/2004
- Preliminary Amendments filed on 10/14/2004
- Information Disclosure Statements filed on 01/14/2005
- U.S. Basic National Fees filed on 10/14/2004
- Priority Documents filed on 10/14/2004

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

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The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,378	PCT/DE03/01318	5776-000001/US/NP

FORM PCT/DO/EO/905 (371 Formalities Notice)